## Gorenstein isolated quotient singularities of odd prime dimension are cyclic

Kazuhiko Kurano and Shougo Nishi

#### Abstract

In this paper, we shall prove that Gorenstein isolated quotient singularities of odd prime dimension are cyclic. In the case where the dimension is bigger than 1 and is not an odd prime number, then there exist Gorenstein isolated non-cyclic quotient singularities.

**Keywords**. cyclic quotient singularity, isolated singularity, Gorenstein

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### 1 Introduction

Let G be a finite subgroup of  $GL(n, \mathbb{C})$ , where  $\mathbb{C}$  is the field of complex numbers and let  $GL(n, \mathbb{C})$  be the set of  $n \times n$  invertible matrices with entries in  $\mathbb{C}$ . Then, G acts on a polynomial ring  $R = \mathbb{C}[X_1, X_2, \ldots, X_n]$ linearly. Let  $R^G$  be the invariant subring, i.e.,

$$R^G = \{ r \in R \mid g(r) = r \; \forall g \in G \}.$$

It is well-known that  $\mathbb{R}^G$  is finitely generated over  $\mathbb{C}$  (cf. Theorem 1.3.1 in [1]).

It is possible to classify finite subgroups in  $SL(2, \mathbb{C})$  (cf. Theorem 2.4.5 in [5]). Here,  $SL(n, \mathbb{C})$  is the subgroup of  $GL(n, \mathbb{C})$  consisting of all matrices of determinant 1. It is well-known that the invariant subring of  $\mathbb{C}[X_1, X_2]$  under the linear action of a finite subgroup of  $SL(2, \mathbb{C})$  is a hypersurface in  $\mathbb{C}^3$  with isolated singularity.

It is also possible to classify finite subgroups in  $SL(3, \mathbb{C})$  (cf. Yau-Yu [7]). Using the classification, it was proven that Gorenstein isolated

quotient singularities of dimension three are cyclic (Theorem A and Theorem 23 in Yau-Yu [7]).

The purpose of this paper is to prove the following theorem:

**Theorem 1.1** Let n be an odd prime number. Let G be a finite subgroup of  $GL(n, \mathbb{C})$  which contains no pseudo-reflection. Assume that the invariant subring  $\mathbb{R}^G$  is Gorenstein with isolated singularity. Then,  $\mathbb{R}^G$  has a cyclic quotient singularity.

For a finite subgroup G of  $GL(n, \mathbb{C})$ , we set

 $\Sigma_i = \{g \in G \mid 1 \text{ is an eigenvalue of } g \text{ with multiplicity at least } i\}$ 

for i = 0, 1, ..., n. Each element in  $\Sigma_{n-1} \setminus \{e\}$  is called a *pseudo-reflection*. Set

$$H_i = \langle \Sigma_i \rangle,$$

which is the subgroup of G generated by  $\Sigma_i$ . By definition we have

$$G = \Sigma_0 \supset \Sigma_1 \supset \cdots \supset \Sigma_{n-1} \supset \Sigma_n = \{e\} \text{ and } G = H_0 \supset H_1 \supset \cdots \supset H_{n-1} \supset H_n = \{e\}.$$

Here, remark that  $\Sigma_n$  is equal to  $\{e\}$ , since any matrix in G is diagonalizable. These are very important subgroups, because the ring homomorphism  $\mathbb{R}^G \to \mathbb{R}^{H_l}$  is étale in codimension s if and only if  $l \leq n-s$ .

Suppose  $n \ge 2$ . Let l be an integer such that  $0 \le l \le n-2$ . By purity of branch locus (cf. Theorem 41.1 in [2]) and the Shephard-Todd theorem (cf. Theorem 7.2.1 in [1]), we know that the following two conditions are equivalent:

(1) 
$$H_l \supseteq H_{l+1} = \cdots = H_{n-1},$$

(2)  $\operatorname{Sing} R^G \neq \emptyset$  and  $\operatorname{dim} \operatorname{Sing} R^G = l$ .

Here  $\operatorname{Sing} R^G$  is the singular locus of  $R^G$ , i.e.,

 $\operatorname{Sing} R^G = \{ P \in \operatorname{Spec} R^G \mid (R^G)_P \text{ is not a regular local ring} \}.$ 

If  $\operatorname{Sing} A$  is not empty and if the dimension of  $\operatorname{Sing} A$  is 0, we say that A has *isolated singularities*. Thus, the following two conditions are equivalent:

- (1)  $R^G$  has isolated singularities.
- (2)  $H_0 \stackrel{\supset}{\neq} H_1 = \cdots = H_{n-1}$ .

If  $\Sigma_{n-1} = \{e\}$ , then the above two conditions are equivalent to the following:

(3)  $\Sigma_1 = \{e\}$ , i.e., 1 is not an eigenvalue of any matrix in G except for e.

On the other hand, remember the following theorem due to Watanabe [4]:

**Theorem 1.2 (Watanabe)** Let G be a finite subgroup of  $GL(n, \mathbb{C})$ and suppose that G acts on  $R := \mathbb{C}[X_1, X_2, \ldots, X_n]$  linearly.

- If  $G \subset SL(n, K)$ , then  $R^G$  is a Gorenstein ring.
- If  $\mathbb{R}^G$  is a Gorenstein ring and if  $\Sigma_{n-1} = \{e\}$ , then  $G \subset SL(n, K)$ .

Since  $R^{H_{n-1}}$  is isomorphic to a polynomial ring and  $G/H_{n-1}$  acts on  $R^{H_{n-1}}$  linearly, the case where  $\Sigma_{n-1} = \{e\}$  is very important.

By these arguments, if  $\Sigma_{n-1} = \{e\}$ , we have the following assertions:

- $G \subset SL(n, K)$  if and only if  $R^G$  is Gorenstein.
- $R^G$  has isolated singularities if and only if 1 is not an eigenvalue of any matrix in G except for e.

Thus Theorem 1.1 immediately follows from Lemma 1.3 below.

**Lemma 1.3** Let n be an odd prime number. Let G be a finite subgroup of SL(n, K), where K is a field such that the characteristic of K is 0 or does not divide the order of G. Assume that 1 is not an eigenvalue of any matrix in G except for the unit matrix. Then, G is a cyclic group.

We remark that the pair  $(G, \rho)$  of a finite group G and its irreducible fixed point free complex representation  $\rho$  are classified, where fixed point free means that  $\rho(s)$  does not have 1 as its eigenvalue for  $s \neq e$ . This classification is obtained in Theorem 7.2.18 in [6]. Therefore, Lemma 1.3 follows from the classification.

In this paper, we give a very simple and elementary proof to Lemma 1.3.

We shall prove Lemma 1.3 in Section 2. In Section 3, we shall give examples of non-cyclic subgroups in the case where n is bigger than 1 and is not an odd prime integer.

## 2 Proof of Lemma 1.3

We shall prove Lemma 1.3 in this section.

We may assume that K is an algebraically closed field.

Remark that each matrix in G is diagonalizable because the characteristic of K is 0 or does not divide the order of G.

First we shall prove Lemma 1.3 in the case where G is an abelian group. Next we shall do in the case where G is a solvable group. Finally we prove Lemma 1.3 without any additional assumptions.

#### 2.1 The case where G is abelian

In this subsection, we prove Lemma 1.3 in the case where G is an abelian group.

Assume that G is a finite abelian subgroup of SL(n, K).

Since the characteristic of K is 0 or does not divide the order of G, there exists  $c \in \operatorname{GL}(n, K)$  such that  $c^{-1}gc$  is a diagonal matrix for any  $g \in G$ . Set  $c^{-1}Gc := \{c^{-1}gc|g \in G\}$ . Remember that g and  $c^{-1}gc$  have the same characteristic polynomial. So, g and  $c^{-1}gc$  have the same determinant and the same eigenvalues. Replacing G with  $c^{-1}Gc$ , we may assume that all matrices in G are diagonal.

We define

$$\psi: G \longrightarrow K^{\times}$$

by letting  $\psi(g)$  be the (1,1)th entry of each diagonal matrix g in G. Then, it is a group homomorphism. Since 1 is not an eigenvalue of any matrix in G except for the unit matrix,  $\psi$  is injective.

Since any finite subgroup of  $K^{\times}$  is cyclic, so is G.

#### 2.2 The case where G is solvable

In this subsection, we prove Lemma 1.3 in the case where G is a solvable group by induction on  ${}^{\#}G$  (the order of G).

Let G be a finite solvable subgroup of SL(n, K) satisfying the assumption in Lemma 1.3. Assume  ${}^{\#}G > 1$ . By induction, any finite solvable subgroup G' of SL(n, K) satisfying the assumption in Lemma 1.3 is cyclic if  ${}^{\#}G > {}^{\#}G'$ . In particular, any proper subgroup of G is cyclic.

Let H be a maximal subgroup of G that contains the commutator subgroup of G. We remark that such a subgroup exists since G is solvable. Then H is a normal subgroup of G. Since H is a proper subgroup of G, H is a cyclic group. Let a be a generator of H, and take  $b \in G \setminus H$ . Then,

$$H = \langle a \rangle$$
 and  $G = \langle a, b \rangle$ 

where  $\langle a_1, \ldots, a_t \rangle$  means the subgroup generated by  $a_1, \ldots, a_t$ .

Let s be the order of a. Since H is a normal subgroup of G,  $b^{-1}ab$  is in H. There exists  $u \in (\mathbb{Z}/s\mathbb{Z})^{\times}$  such that  $b^{-1}ab = a^{u}$ .

Let  $\{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  be the set of the eigenvalues of a, where each  $\lambda_i$  is a primitive sth root of 1. We regard it as a multi-set.

Then, by a famous theorem due to Frobenius,  $\{\lambda_1^u, \lambda_2^u, \ldots, \lambda_n^u\}$  is the set of eigenvalues of  $a^u$ .

Since  $b^{-1}ab = a^u$ ,

$$\{\lambda_1, \lambda_2, \dots, \lambda_n\} = \{\lambda_1^u, \lambda_2^u, \dots, \lambda_n^u\}$$

is satisfied as a multi-set. Repeating it, we have

$$\{\lambda_1, \lambda_2, \dots, \lambda_n\} = \{\lambda_1^{(u^m)}, \lambda_2^{(u^m)}, \dots, \lambda_n^{(u^m)}\}$$
(1)

as a multi-set for any positive integer m. Let  $\operatorname{ord}(u)$  be the order of u in the multiplicative group  $(\mathbb{Z}/s\mathbb{Z})^{\times}$ . Then, for any i,

$$\left\{\lambda_i, \lambda_i^u, \lambda_i^{(u^2)}, \dots, \lambda_i^{(u^{\operatorname{ord}(u)-1})}\right\}$$
(2)

is a subset of mutually distinct eigenvalues of the matrix a. By (1), we know that eigenvalues in (2) have the same multiplicity. Therefore, it is easy to see that  $\operatorname{ord}(u)$  divides n. Since n is a prime number,  $\operatorname{ord}(u)$  is equal to 1 or n.

- (i) If u = 1, then G is abelian since ab = ba. In this case, G is cyclic as we have already seen in Subsection 2.1.
- (ii) Suppose  $\operatorname{ord}(u) = n$ . Then, we may assume that

$$\{\lambda, \lambda^u, \lambda^{(u^2)}, \dots, \lambda^{(u^{n-1})}\}$$

is the set of eigenvalues of a, where  $\lambda$  is a primitive sth root of 1. Here, remark that the multiplicity of each eigenvalue is one. Then there exists  $c \in \operatorname{GL}(n, K)$  such that

$$c^{-1}ac = \begin{pmatrix} \lambda & & & O \\ & \lambda^{u} & & & \\ & & \lambda^{(u^{2})} & & \\ & & & \ddots & \\ O & & & \lambda^{(u^{n-1})} \end{pmatrix}.$$
 (3)

Replacing G with  $c^{-1}Gc$ , we may assume that a is equal to the right-hand-side of (3). Then,

$$b^{-1}ab = a^{u} = \begin{pmatrix} \lambda^{u} & & & O \\ & \lambda^{(u^{2})} & & & \\ & & \ddots & & \\ & & & \lambda^{(u^{n-1})} & \\ O & & & & \lambda \end{pmatrix}.$$

By the above equality, the matrix b coincides with

$$(\mathbf{b}_1 \ \mathbf{b}_2 \ \cdots \ \mathbf{b}_{n-1} \ \mathbf{b}_0),$$

where  $\mathbf{b}_i$  is an eigenvector of a of eigenvalue  $\lambda^{(u^i)}$  for  $i = 0, 1, \ldots, n-1$ . Therefore, we may assume that the matrix b is of the following form:

Then,

$$\det(b) = (-1)^{n-1} b_0 b_1 \cdots b_{n-1} = 1.$$

On the other hand,

$$\det(te-b)$$

$$= \det\begin{pmatrix} t & 0 & \cdots & 0 & -b_{0} \\ -b_{1} & t & \ddots & \ddots & 0 \\ 0 & -b_{2} & t & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & -b_{n-1} & t \end{pmatrix}$$

$$= \det\begin{pmatrix} t & 0 & \cdots & \cdots & 0 \\ -b_{1} & t & 0 & \cdots & \vdots \\ 0 & -b_{2} & t & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & -b_{n-1} & t \end{pmatrix} + \det\begin{pmatrix} 0 & 0 & \cdots & \cdots & -b_{0} \\ -b_{1} & t & 0 & \cdots & \vdots \\ 0 & -b_{2} & t & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & -b_{n-1} & t \end{pmatrix}$$

$$= t^{n} + (-1)^{n+(n-1)}b_{0}b_{1}\cdots b_{n-1}$$

$$= t^{n} + (-1)^{n}.$$

Since n is an odd number, we know that 1 is an eigenvalue of the matrix b. It is a contradiction. Therefore,  $\operatorname{ord}(u)$  is not n.

We have completed a proof in the case where G is solvable.

#### 2.3 Final step in our proof of Lemma 1.3

In this subsection, we prove Lemma 1.3 without any additional assumptions.

Let G be a group satisfying the assumption of Lemma 1.3. We prove Lemma 1.3 by induction on #G. By induction, any proper subgroup of G is cyclic. Let  $S_p$  be a p-Sylow subgroup of G for each prime number p.

First, assume that  $S_p$  is a normal subgroup of G for any prime number p. Then it is well known that G is isomorphic to the direct product of all Sylow subgroups. Therefore, in this case, G is solvable. Thus, G is cyclic as we have already seen in Subsection 2.2.

Next, we assume that there exists a prime number p such that  $S_p$  is not a normal subgroup of G. The following subgroup is called the *normalizer* of  $S_p$ .

$$N_G(S_p) = \{ c \in G \mid cS_p c^{-1} = S_p \}$$

Since  $S_p$  is not a normal subgroup of  $G, G \neq N_G(S_p)$ .

Remember the following famous theorem due to Burnside (cf. Theorem 7.50 in [3]):

**Theorem 2.1 (Burnside)** Let F be a finite group. Assume that there exists a prime number q such that a q-Sylow subgroup  $S_q$  of F is contained in the center of its normalizer  $N_F(S_q)$ .

Then there exists a normal subgroup H of F such that

$$F = HS_q$$
 and  $H \cap S_q = \{e\}.$ 

In our case,  $S_p$  is contained in the center of  $N_G(S_p)$  because  $N_G(S_p)$  is cyclic. By the above theorem due to Burnside, there exists a normal subgroup H of G such that

$$G = HS_p$$
 and  $H \cap S_p = \{e\}.$ 

Since  $S_p \neq \{e\}$ , *H* is a proper subgroup of *G*. Therefore, *H* is cyclic. Since  $S_p$  is a proper subgroup of *G*,  $S_p$  is also cyclic. Then, *G* is solvable because of

$$G/H \simeq S_p.$$

Since G is solvable, it is a cyclic group as we have already seen in Subsection 2.2.

We have completed a proof of Lemma 1.3.

# 3 The case where *n* is not an odd prime number

Suppose that n is an integer bigger than 1.

In this section, we give examples of non-abelian finite subgroups of  $SL(n, \mathbb{C})$  that satisfy the assumption in Lemma 1.3 except for that n is an odd prime number.

These examples are of type I of Theorem 6.1.11 and the representations are given in Theorem 5.5.6 in [6].

#### 3.1 The case where n is an even number

In this subsection, we assume that n is an even number.

Let H be a non-abelian finite subgroup of  $\mathrm{SL}(2,\mathbb{C})$ . For example,  $H = \langle A, B \rangle$ , where

$$A = \left( \begin{array}{cc} i & 0 \\ 0 & -i \end{array} \right), \quad B = \left( \begin{array}{cc} 0 & i \\ i & 0 \end{array} \right).$$

It is easy to see that 1 is not an eigenvalue of any matrix in H except for e.

Here we define as

$$G = \left\{ \left( \begin{array}{cccc} M & 0 & \cdots & \cdots & 0 \\ 0 & M & 0 & \cdots & 0 \\ \vdots & 0 & \ddots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ 0 & \cdots & \cdots & 0 & M \end{array} \right) \in \operatorname{SL}(n, \mathbb{C}) \; \middle| \; M \in H \right\}.$$

Then 1 is not an eigenvalue of any matrix in G except for e. Since G is isomorphic to H as a group, G is not abelian.

## 3.2 The case where n is an odd composite number

In this subsection, assume that n is an odd composite number.

 $\operatorname{Set}$ 

$$n = qn', \tag{4}$$

where q is an odd prime number and n' is an odd number such that  $q \leq n'$ .

By a famous theorem due to Dirichlet, there exists an odd prime number l such that

$$l \equiv 1 \pmod{2q}.$$

Then, there exists  $\alpha \in (\mathbb{Z}/l\mathbb{Z})^{\times}$  such that the order of  $\alpha$  is q, i.e., it satisfies

$$\alpha^q \equiv 1 \pmod{l} \quad \text{and} \quad \alpha \not\equiv 1 \pmod{l}. \tag{5}$$

Let z (resp. x) be a primitive lth root (resp. qth root) of 1. Here, set

\_\_\_\_, ....

$$A = \begin{pmatrix} O & | x \\ \hline 1 & O \\ & \ddots & \\ O & 1 & \end{pmatrix}, B = \begin{pmatrix} z & O \\ & z^{\alpha} & \\ & \ddots & \\ O & z^{(\alpha^{q-1})} \end{pmatrix} \in \operatorname{GL}(q, \mathbb{C}).$$

**Lemma 3.1** Set  $G = \langle A, B \rangle \subset GL(q, \mathbb{C})$ . Then we have the following:

- (i)  $\det A = x$ ,  $\det B = 1$ .
- (ii)  $AB \neq BA$ . In particular, G is not abelian.
- (iii) G is a finite group.
- (iv) 1 is not an eigenvalue of any matrix in G except for the unit matrix.

**Proof.** We have

det 
$$A = (-1)^{q-1}x = x$$
  
det  $B = \prod_{i=0}^{q-1} z^{(\alpha^i)} = z^{\frac{\alpha^q - 1}{\alpha - 1}}.$ 

Since *l* divides  $\frac{\alpha^q - 1}{\alpha - 1}$  by (5),

$$z^{\frac{\alpha^q - 1}{\alpha - 1}} = 1.$$

The assertion (i) has been proven.

$$\begin{aligned} A^{-1}BA &= \begin{pmatrix} \begin{vmatrix} 1 & 0 \\ 0 & \ddots \\ 0 & 1 \\ \hline x^{-1} & 0 \end{pmatrix} \begin{pmatrix} z & 0 \\ z^{\alpha} \\ 0 & z^{(\alpha^{q-1})} \end{pmatrix} \begin{pmatrix} 0 & x \\ 1 & 0 \\ & \ddots \\ 0 & z^{(\alpha^{q-1})} \\ 0 & 1 & 0 \end{pmatrix} \\ &= \begin{pmatrix} z^{\alpha} & 0 \\ z^{(\alpha^{2})} \\ & \ddots \\ 0 & z^{(\alpha^{q-1})} \\ 0 & z \end{pmatrix} = B^{\alpha} \end{aligned}$$

Since  $z \neq z^{\alpha}$ , we have  $AB \neq BA$ . The assertion (ii) has been proven.

It is easy to see that the order of B is l. Since

$$A^q = \begin{pmatrix} x & O \\ & \ddots & \\ O & & x \end{pmatrix},$$

the order of A is  $q^2$ . Since  $BA = AB^{\alpha}$ , we have

$$G = \{A^r B^s | r = 0, 1, \dots, q^2 - 1; \ s = 0, 1, \dots, l - 1\}.$$

In particular, the order of G is finite. The assertion (iii) has been proven.

Now, we shall show that 1 is not an eigenvalue of  $A^r B^s$  for  $r = 0, 1, \ldots, q^2 - 1$ ,  $s = 0, 1, \ldots, l - 1$  except for the case r = s = 0. Set

$$r = uq + v$$
,

where u and v are integers such that  $0 \le u, v < q$ .

First, assume v = 0. Since

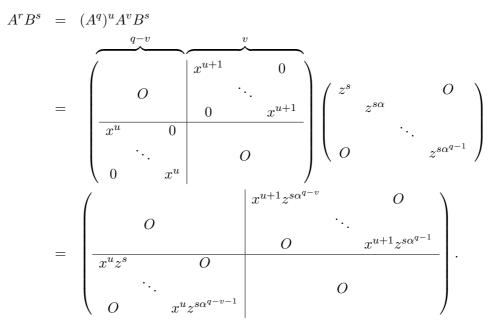
$$A^{r}B^{s} = x^{u} \begin{pmatrix} z^{s} & & O \\ & z^{s\alpha} & & \\ & & \ddots & \\ O & & z^{s\alpha^{q-1}} \end{pmatrix} = \begin{pmatrix} x^{u}z^{s} & & O \\ & x^{u}z^{s\alpha} & & \\ & & \ddots & \\ O & & & x^{u}z^{s\alpha^{q-1}} \end{pmatrix},$$

 $\{x^u z^s, x^u z^{s\alpha}, \dots, x^u z^{s\alpha^{q-1}}\}$  is the set of the eigenvalues of  $A^r B^s$ . Here assume that  $x^u z^{s\alpha^t} = 1$  for some  $0 \le t \le q-1$ . Since q and l are relatively prime, we have

$$u \equiv 0 \pmod{q}$$
  
$$s\alpha^t \equiv 0 \pmod{l}.$$

Therefore, we have r = s = 0.

Next assume  $v \neq 0$ .



Therefore, we know that

the 
$$(i, j)$$
th entry of  $tE - A^r B^s = \begin{cases} t & (i = j) \\ -x^u z^{s\alpha^{j-1}} & (i = j + v) \\ -x^{u+1} z^{s\alpha^{j-1}} & (i = j + v - q) \\ 0 & (\text{otherwise}). \end{cases}$ 

For each j, the (i, j)th entry of  $tE - A^r B^s$  is not 0 if and only if i = jor  $i \equiv j + v \pmod{q}$ . Since q and v are relatively prime, we have

$$det(tE - A^r B^s) = t^q + (-1)^{q+v(q-v)} x^{uq+v} z^{s(1+\alpha+\dots+\alpha^{q-1})}$$
  
=  $t^q - x^v$ .

Since  $x^v \neq 1$ , 1 is not an eigenvalue of  $A^r B^s$ . Q.E.D.

We define a group homomorphism

$$f: G \longrightarrow \operatorname{GL}(qn', \mathbb{C})$$

by

$$f(C) = \begin{pmatrix} C & O \\ & \ddots & \\ O & C \\ & & \overline{C} & O \\ & & \overline{C} & O \\ & & & 0 \\ & & & 0 \\ & & & 0 \\ & & & 0 \\ & & & \frac{n'-q}{2} \end{pmatrix}$$

for each  $C \in G$ , where  $\overline{C}$  is the complex conjugate matrix of C. Here, remember that n' is an odd number satisfying (4). If C is not the unit matrix, 1 is an eigenvalue of neither C nor  $\overline{C}$ . Therefore, if C is not the unit matrix, 1 is not an eigenvalue of f(C).

On the other hand,

$$\det f(A) = (\det A)^{\frac{q+n'}{2}} (\det \bar{A})^{\frac{n'-q}{2}} = x^{\frac{q+n'}{2}} (x^{-1})^{\frac{n'-q}{2}} = x^q = 1$$

and, obviously det f(B) = 1. Therefore,  $f(G) \subset SL(n, \mathbb{C})$ . Since  $AB \neq BA$ ,

$$f(A)f(B) \neq f(B)f(A).$$

Therefore, f(G) is not abelian.

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Kazuhiko Kurano Department of Mathematics Faculty of Science and Technology Meiji University Higashimita 1-1-1, Tama-ku Kawasaki 214-8571, Japan kurano@isc.meiji.ac.jp http://www.math.meiji.ac.jp/~kurano

Shougo Nishi Department of Mathematics Faculty of Science and Technology Meiji University Higashimita 1-1-1, Tama-ku Kawasaki 214-8571, Japan